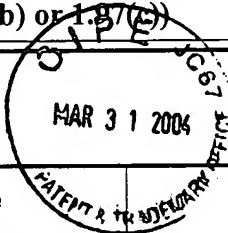


**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
2001JP309

In Re Application Of: Harada Takamasa



Serial No.  
10/688,541

Filing Date  
October 17, 2003

Examiner  
Not assigned

Group Art Unit  
Not assigned

Title:

Optical Film having Controlled Scattering/transmitting Characteristics

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

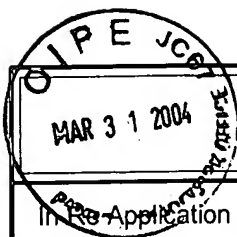
1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

**OR**

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).



**TRANSMITTAL LETTER**  
**(General - Patent Pending)**

Docket No.  
2001JP309

In Re Application Of: Harada Takamasa

Serial No.  
10/688,541

Filing Date  
October 17, 2003

Examiner  
Not assigned

Group Art Unit  
Not assigned

Title: Optical Film having Controlled Scattering /Transmitting Characteristics

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is: 1) Form PCT/1B/338 - Notification of transmittal of copies of translation of the international preliminary examination report.  
2) Form PCT/IPEA/409 - International preliminary examination report

in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

Signature

Dated: March 29, 2004

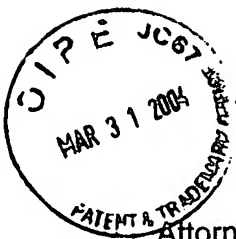
I certify that this document and fee is being deposited on March 29, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Sangya Jain

Typed or Printed Name of Person Mailing Correspondence

CC:



Attorney's Docket No. 2001JP309

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Harada Takamasa

Serial No.: 10/688,541

Group Art Unit: TO BE ASSIGNED

Filed: October 17, 2003

Examiner: TO BE ASSIGNED

For: CHEMICAL -AMPLIFICATION-TYPE POSITIVE RADIATION-SENSITIVE

**Assistant Commissioner for Patents**  
**Washington, D.C. 20231**

### INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF FILING OR BEFORE MAILING OF THE FIRST OFFICE ACTION

Sir:

1. IDENTIFICATION OF TIME OF FILING INFORMATION DISCLOSURE  
STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 CFR 1.97(b).

2. PRELIMINARY STATEMENTS

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

3. COMPLETED FORM PTO-1449 IS ATTACHED.

4. COPIES OF LISTED INFORMATION ITEMS ACCOMPANYING THIS  
STATEMENT

Legible copies of all items listed accompany this information statement.

5. IDENTIFICATION OF PERSON(S) MAKING THIS INFORMATION  
DISCLOSURE STATEMENT

The person making this statement is the attorney who signs below on the basis  
of the information in the attorney's file.

Respectfully submitted:

A handwritten signature in black ink, appearing to read "S. Jain", written in a cursive style.

Sangya Jain  
Reg. No. 38,504  
Clariant Corporation  
70 Meister Avenue  
Somerville, NJ 08876  
Telephone: 908-429-3536

Applicant(s): **Harada Takamasa**

**2001JP309**

**10/688,541**

**October 17, 2003**

**Not assigned**

**Not assigned**

A circular stamp from the Intellectual Property Office (IPO). The text "O I P E J C 6 7" is curved along the top inner edge. The date "MAR 31 2004" is stamped in the center. The text "PATENT &amp; TRADEMARK OFFICE" is curved along the bottom inner edge.

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on March 29, 2004  
(Date)

(Typed or Printed Name of Person Mailing Correspondence)

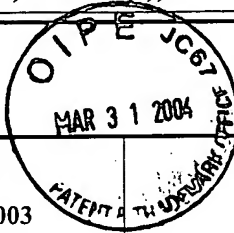
(Signature of Person Mailing Correspondence)

**Note: Each paper must have its own certificate of mailing.**

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
2001JP309

In Re Application Of: **Harada Takamasa**



Serial No.  
10/688,541

Filing Date  
October 17, 2003

Examiner  
Not assigned

Group Art Unit  
Not assigned

Title:

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_)

on \_\_\_\_\_  
(Date)

Signature

Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on **March 29, 2004** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Sangya Jain

Typed or Printed Name of Person Mailing Correspondence

\*This certificate may only be used if paying by deposit account.

Signature

Dated: **March 29, 2004**

CC:

